

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Patent Application Transmittal

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: MICHAEL JOHN BRANSON, MELISSA SUE FICHTINGER, LEAH
ELIZABETH HAUSE, GREGORY RICHARD HINTERMEISTER, ERIK DUANE
LINDBERG, DIANE ELAINE OLSON, NEELA SHARAD PATEL, DeVAUGHN
LAWRENCE RACKHAM, and BRENT GORDEN TANG

For: SOFTWARE CONTROL IN A BUSINESS TRANSACTION ENVIRONMENT

Enclosed are:

- ☒ 21 sheets of specification and 1 abstract
- ☒ 7 sheets of drawings
- ☒ A Declaration and Power of Attorney
- ☒ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of a application
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk,
New York 10504 and Recordation form PTO-1595

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$ 740.00
Total Claims	30 -20 =	10	x \$18.00=	\$ 180.00
Indep. Claims	3 -3 =	0	x \$84.00=	\$ -0-
<input type="checkbox"/> Multiple Dependent Claim Presented			\$280.00	\$ 0.00
TOTAL				\$ 920.00

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL913563883US
Date: February 19, 2002

I hereby certify that the enclosed or attached paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Gero G. McClellan

Patent Application Transmittal
Attorney Docket No.: ROC920010345US1

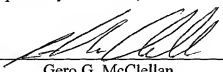
Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$920.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Date: February 19, 2002

By


Gero G. McClellan
Registration No.: 44,227

IBM Corporation
Intellectual Property Law, Dept. 917
3605 Highway 52 North
Rochester, MN 55901-7829

(507) 253-4660 voice
(507) 253-2382 fax